

10/541097

JC20 Rec'd 1/17/PTO 28 JUN 2005

HD&P Docket No. 9053V-000004/US/NPB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Carl R. VanderSchuit  
Serial No.: Not yet assigned  
Filed: June 28, 2005  
Art Unit: Unknown  
Examiner: Unknown  
For: LIGHTING DEVICE

---

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In order to comply with 37 CFR 1.97 and 1.98, a copy of Form PTO 1449 is attached.

In accordance with MPEP 609 and 707.05(b), Applicant respectfully requests that each document listed be given thorough consideration and that the Examiner cite each document of record in the prosecution history of the present application by initialing Form PTO-1449 next to each document. Applicant requests such initialing even if the Examiner does not consider: (1) a listed document to be sufficiently pertinent to use in a rejection; (2) a document to be prior art for any reason; or (3) that the guidelines for citation have been fully complied with respecting a particular document. Applicant makes this request so that each document becomes cited on the face of a patent issuing on the present application.

Applicant submits the present Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR 1.56, but by listing such documents Applicant is not admitting that such documents are necessarily

EV 726254165 US

relevant or prior art. Applicant intends no representation that the listed documents represent the results of a complete search. Applicant anticipates that the Examiner, in the normal course of examination, will make an independent search to determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of such search, will review for relevance every document listed on the attached Form even if not initialed.

The attached Form HDP-1449 cites U.S. pending patent applications for which Carl R. VanderSchuit is an inventor but that are not related to the instant application through a priority claim. Applicant presumes that the Examiner has access to the file histories of those references, and will review those file histories to the extent the Examiner feels they may be material to the patentability of the instant application. Upon the Examiner's request, Applicant can provide copies of the Office actions from these cited references to the extent Applicant has such documents in their possession.

English translations are provided of EP 0231471, DE 29918185, CH 662931, and WO 9318358. An English translation is also provided for the Abstract of JP 11283415. Applicant makes no representation as to the accuracy of any of these translations.

Attached for the Examiner's information are copies of the following documents from other applications for which Carl R. VanderSchuit is an inventor:

1. International Search Report for PCT/2004/018799 dated February 18, 2005
2. Written Opinion for PCT/2004/018799 dated February 18, 2005
4. International Search Report for PCT/2004/018799 dated October 10, 2004
5. Written Opinion for PCT/2004/018799 dated October 10, 2004
6. United Kingdom Combined Search and Examination Report dated January 6, 2004; Application No. GB 0326549.3
7. Supplementary European Search Report dated March 1, 2004; App. No. EP 01 93 9305
8. Office Action (mailing date January 7, 2005) from application U.S. 10/606,324 publication number US 2004-0264187 A1.

10/541097

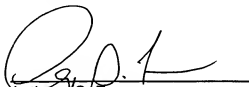
JC20 Rec'd PCT/PTO 28 JUN 2005

Applicant earnestly solicits early and favorable consideration. If the Examiner should have any questions with regard to this filing, he or she should contact the undersigned attorney at (314) 726-7500.

Respectfully submitted,

Dated: June 28, 2005

BY:

  
Anthony G. Fussner  
Reg. No. 47,582  
Attorneys for Applicant

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE**  
**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

Sheet 1 of 2

ATTORNEY DOCKET NO.

SERIAL NO.

9053V-000004/US/NPB

107541097

APPLICANT

Carl R. VanderSchuit

FILING DATE

GROUP

June 28, 2005

Unknown 2885

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date (mm/dd/yr)	Name	Class/ Subclass	(If appropriate) Filing Date
1.	/HS/	2,282,693	05/12/1942	Abadie		
2.		4,211,955	07/08/1980	Ray		
3.		4,656,398	04/07/1987	Michael et al		
4.		5,121,287	06/09/1992	Lee		
5.		5,349,330	09/20/1994	Diong et al		
6.		5,893,626	04/13/1999	Poling		
7.		6,016,038	01/18/2000	Mueller et al		
8.		6,149,283	11/21/2000	Conway et al		
9.		6,150,774	11/21/2000	Mueller et al		
10.		6,168,282	01/02/2001	Chien		
11.		6,227,679	08/05/2001	Ohtani		
12.		6,234,648	05/22/2001	Borner et al		
13.		6,400,104	06/04/2002	Ham		
14.		6,416,198	7/9/2002	VanderSchuit		
15.		2003/0197807	10/23/2003	Wu		
16.		6,663,248	12/16/2003	Hsieh		
17.		US pending application No. 10/786,995		VanderSchuit		02/25/2004
18.		6,756,893	06/29/2004	Fernandez		
19.		2004/0233674	11/25/2004	VanderSchuit		
20.	↓	6,824,289	11/30/2004	VanderSchuit		

Examiner: /Hargobind S. Sawhney/

Date Considered: 09/14/2007

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EV 726254165 US

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Sheet 2 of 2

ATTORNEY DOCKET NO.

SERIAL NO.

9053V-000004/US/NPB

107541097

APPLICANT

Carl R. VanderSchuit

FILING DATE

GROUP

June 28, 2005

Unknown 2885

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date (mm/dd/yr)	Name	Class/ Subclass	(If appropriate) Filing Date
21.	/HS/	2004/0252499	12/16/2004	Tumlinson et al		
22.		2004/0264176	12/30/2004	VanderSchuit		
23.		2004/0264173	12/30/2004	VanderSchuit		
24.		2004/0264187	12/30/2004	VanderSchuit		
25.	↓	6,874,909	4/5/2005	VanderSchuit		

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date (mm/dd/yr)	Country	Class/ Subclass	Translation Yes	No
1.	/HS/	GB 414 472	08/09/1934	United Kingdom			
2.		EP 0 231 471 A	08/12/1987	EP		X	
3.		CH 662 931 A	11/13/1987	Switzerland		X	
4.		WO 93 18358 A	09/16/1993	PCT		X	
5.		JP11283415	10/15/1999	Japan			X
6.		DE 299 18 185 U	01/20/2000	Germany		X	
7.		GB 2 392 973 A	03/17/2004	GB			
8.	↓	WO 2004/036618	04/29/2004	PCT			

Examiner: /Hargobind S. Sawhney/

Date Considered:

09/14/2007

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.